

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER 37 CFR 52.5, 53, and/or 85

## In re Application of:

Blackwell, et al.

Serial No.: 09/888,044

Filed: June 22, 2001

Confirmation No.: 6965

Title: "Reinforced Window Shutter"

Attention: Application Branch

Date: February 11, 2002

Attorney Docket No.: NHS-2

Art Unit: 1772

Our Account No.: 04-1403

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85.

- ☒ Rule 53 signed Declaration enclosed.  
☒ This is a Rule 47 filing per attached Petition.  
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.  
☐ Specification originally filed in non-English language; hence verified translation attached of:  
☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.  
☒ 5 sheet(s) of formal drawings enclosed: size: ☒ A4 ☐ 14" ☐ 8½ by 11  
☒ Attached is an assignment to New Horizons Shutters, Inc.  
☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s) \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_, respectively.  
Certified copy (copies) ☐ attached; ☐ already filed on \_\_\_\_\_ in U.S. Application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_.  
☒ "Small Entity" status under Rules 9 & 27 claimed. ☐ Attached: \_\_\_\_\_ Verified Statement(s) establishing "small entity."  
☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.  
☒ Attached: Petition Under 37 CFR Section 1.47; Affidavit In Support of the Petition Under 37 CFR Section 1.47; Appendix A Copies of Correspondence to Inventor; Information Disclosure Statement and List.  
☐ Preliminary Amendment: \_\_\_\_\_.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED  
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee .....	\$ 740.00
Total Effective Claims <u>20</u> - 20 = <u>0</u> x \$18.00 =	\$ 0.00
Independent Claims <u>3</u> - 3 = <u>3</u> x \$84.00 =	\$ 0.00
If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$280.00	\$ 0.00
Filing Fee	\$ 740.00

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of October 14, 2001, petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; **4 months \$1440**).

\$ 1440.00

If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130)

\$ 130.00

Subtotal

\$ 2310.00

If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract

-

\$ 1155.00

Total

=

\$ 1155.00

If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00 .....

\$

If "assignment" box is X'd, add recording fee (\$40.00) .....

\$ 40.00

If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h) .....

\$ 130.00

**TOTAL FEE ENCLOSED****\$ 1325.00**

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

## ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
Phone: 864-271-1592  
Facsimile: 864-233-7342

## DORITY &amp; MANNING

ATTORNEYS AT LAW, P.A.

By Atty: Timothy A. Cassidy

Reg. No. 38,024

Signature: Timothy A. Cassidy

Date: February 11, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

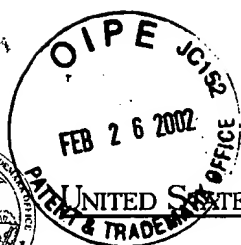
February 11, 2002

Kim Bradley

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

BEST AVAILABLE COPY



DAC

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/888,044	06/22/2001	Grover Blackwell	NHS-2

CONFIRMATION NO. 6965

## FORMALITIES LETTER



\*OC000000006424592\*

TIMOTHY A. CASSIDY  
Dority & Manning  
Attorneys at Law, P.A.  
P.O. Box 1449  
Greenville, SC 29602

RECEIVED

MAR 05 2002

OFFICE OF PETITIONS

Date Mailed: 08/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

PROCESSED &amp; FILED

03/04/2002 GTEFFERA 00000066 09888044

01 FC:201  
02 FC:205370.00 OP  
65.00 OP

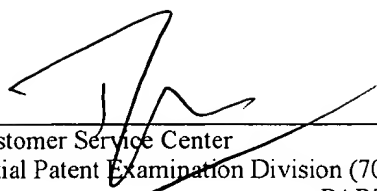
- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

RECEIVED

A copy of this notice **MUST** be returned with the reply.

AUG 17 2001

DORITY AND MANNING



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Effective **OCTOBER 1, 2001**, Patent Fees will be adjusted. Please note that although the fee amounts required by the enclosed Notice might reflect the fees due on the date of filing, any fee paid on or after **OCTOBER 1, 2001** is subject to the new fee schedule and must be paid in the new amount.

### EXAMPLES OF FEES BEING ADJUSTED

See [www.uspto.gov](http://www.uspto.gov) for complete FY-2001 Fee Schedule

--- Indicates  
fees remain  
at FY 2001  
amount

DESCRIPTION	FY 2001	FY 2002
Basic filing fee - Utility	\$710	\$740
Basic filing fee - Utility (Small Entity)	\$355	\$370
Independent claims in excess of three	\$80	\$84
Independent claims in excess of three (Small Entity)	\$40	\$42
Claims in excess of twenty	\$18	---
Claims in excess of twenty (Small Entity)	\$9	---
Multiple dependent claim	\$270	\$280
Multiple dependent claim (Small Entity)	\$135	\$140
Surcharge - Late filing fee	\$130	---
Surcharge - Late filing fee (Small Entity)	\$65	---
Design filing fee	\$320	\$330
Design filing fee (Small Entity)	\$160	\$165
Plant filing fee	\$490	\$510
Plant filing fee (Small Entity)	\$245	\$255
Reissue filing fee	\$710	\$740
Reissue filing fee (Small Entity)	\$355	\$370
Reissue independent claims	\$80	\$84
Reissue independent claims (Small Entity)	\$40	\$42
Reissue claims in excess of twenty	\$18	---
Reissue claims in excess of twenty (Small Entity)	\$9	---
Provisional application filing fee	\$150	160
Provisional application filing fee (Small Entity)	\$75	80
Surcharge - Late provisional filing fee	\$50	---
Surcharge - Late provisional filing fee (Small Entity)	\$25	---
Non-English Specification	\$130	---
Extension - First month	\$110	---
Extension - First month (Small Entity)	\$55	---
Extension - Second month	\$390	\$400
Extension - Second month (Small Entity)	\$195	\$200
Extension - Third month	\$890	\$920
Extension - Third month (Small Entity)	\$445	\$460
Extension - Fourth month	\$1,390	\$1,440
Extension - Fourth month (Small Entity)	\$695	\$720
Extension - Fifth month	\$1,890	\$1,960
Extension - Fifth month (Small Entity)	\$945	\$980
Petition	\$130	---
Processing returned checks	\$50	---

For further fee information, please call

(703-308-HELP)

**RECEIVED**  
MAR 05 2002  
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